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PATENT Customer No. 22,852 Attorney Docket No. 7883.0080-12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of: |) |
|---|---|
| Mark KNUDSON et al. |)) |
| Application No.: 10/682,067 |) Group Art Unit: Unknown)) Examiner: Unknown |
| Filed: October 9, 2003 |))) |
| For: METHOD AND APPARATUS FOR PERFORMING CORONARY ARTERY BYPASS SURGERY |))) |
| Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | |
| Sir: | |

SUBMISSION UNDER 37 C.F.R. §3.73(b)

Percardia Inc., a corporation of Delaware, is the assignee of the entire right, title and interest in the patent application identified above by virtue of chain of title from the inventors, of the patent application identified above, to Percardia, Inc. as shown below:

1. From: Mark B. Knudson and William L. Giese

To: Heartstent, LLC

The document was recorded in a parent application (Serial No. 08/882,397) in the Patent and Trademark Office at Reel 8632, Frame 0153.

2. From: Heartstent, LLC

To: HeartStent Corporation

The document was recorded in a parent application (Serial No. 08/882,397) in the Patent and Trademark Office at Reel 8752, Frame 0342.

FINNEGAN HENDERSON FARABOW GARRETT & DUNNERLL

1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com

Application No. 10/682,067 Attorney Docket No. 7883.0080-12

3. From: HeartStent Corporation

To: Percardia, Inc.

A copy of the document is attached. A copy of the document is also submitted herewith for recordation in the U.S. Patent and Trademark Office. This application is not listed in the attached Patent Schedule. However, because this application is a continuation application of 10/245,556, which is listed in the Patent Schedule, and the Assignment assigns all continuations of applications listed in the Patent Schedule from HeartStent Corporation to Percardia, Inc., this application was also assigned from HeartStent Corporation to Percardia, Inc.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

Name:

Nancy Briefs

Title:

President & CEO

Signature:

Address:

Percardia, Inc.

10 Al Paul Lane

Suite 202

Merrimack, NH 03054

FINNEGAN HENDERSON FARABOW GARRETT & DUNNER LLP

1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com

ASSIGNMENT

WHEREAS, HeartStent Corporation, a Minnesota corporation ("HeartStent"), owns, by assignment, all right, title, and interest in the patents and patent applications listed on the Patent Schedule attached to this Assignment (the "Patents and Patent Applications") and any inventions disclosed and/or claimed therein (the "Inventions"); and

Percardia Inc., a Delaware corporation ("Percardia"), desires to own HeartStent's entire right, title, and interest in and to the Patents and Patent Applications and the Inventions, in all countries throughout the world.

NOW THEREFORE, be it known that, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, HeartStent hereby sells, assigns, transfers, and sets over to Percardia, its lawful successors and assigns,

HeartStent's entire right, title, and interest in and to the Patents and Patent Applications and the Inventions; any other U.S. and foreign patents and patent applications directed to any of the Inventions, including without limitation any divisional, continuation, and continuation-in-part; all reissues, reexaminations, renewals, extensions, and foreign equivalents of any of the foregoing; and all rights to claim priority on the basis of any of the above. The parties agree that the rights conveyed hereunder are to be held and enjoyed by Percardia, its successors and assigns, as fully as the same would have been held and enjoyed by HeartStent had this assignment not been made, and HeartStent hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on

applications as described above, to issue all patents for any of the Inventions to Percardia, its successors and assigns, in accordance with the terms of this Assignment.

AND, HeartStent HEREBY further covenants that it has the full right to convey the interest assigned by this Assignment, and it has not executed and will not execute any agreement in conflict with this Assignment.

, • OCT-24-2003 10:01

DORSEY & WHITNEY LLP

6123402868

P.05/09

Sent By: HeartStent Corporation;

952 442 1204;

Oct-24-03 10:42AM;

Page 5

Execution Copy

IN TESTIMONY WHEREOF, each party has caused its authorized representative

to execute this Assignment. HeartStept Corporation Percardia, Inc. By___ Name: Title: Date_ Date_

Execution Copy

IN TESTIMONY WHEREOF, each party has caused its authorized representative

to execute this Assignment.

HeartStent Corporation

By_____
Name:
Title:
Date_____

Percardia/Inc.

By Are

Date_____(()__

9285935_1

Patent Assignment

PATENT SCHEDULE

U.S. Patents and Patent Applications

| Appln. Serial No. | Patent No. | Filing Date | Title |
|-------------------|------------|--------------------|--|
| 08/689,773 | 5,755,682 | August 13, 1996 | Method and apparatus for performing coronary artery bypass surgery |
| 09/915,539 | 5,908,029 | August 15, 1997 | Coronary artery bypass with reverse flow |
| 08/882,397 | 5,944,019 | June 25, 1997 | Closed chest coronary bypass |
| 08/944,313 | 5,984,956 | October 6, 1997 | Transmyocardial implant |
| 09/063,160 | 6,029,672 | April 20, 1998 | Transmyocardial implant procedure and tools |
| 09/135,879 | 6,053,942 | August 18, 1998 | Transmyocardial implant with coronary stent |
| 09/063,161 | 6,076,529 | April 20, 1998 | Transmyocardial implant with inserted vessel |
| 09/055,488 | 6,093,166 | April 3, 1998 | Coronary bypass implant |
| 09/246,596 | 6,102,941 | February 8, 1999 | Transmyocardial implant with coronary ingrowth |
| 09/373,790 | 6,113,630 | August 13, 1999 | Transmyocardial implant with minimized coronary insertion |
| 09/094,136 | 6,113,823 | June 9, 1998 | Pyrolytic carbon transmyocardial implant |
| 09/054,815 | 6,123,682 | April 3, 1998 | Closed chest coronary bypass |
| 09/145,843 | 6,139,541 | September 2, 1998 | Guide for transmyocardial implant |
| 09/311,003 | 6,182,668 | May 13, 1999 | Transmyocardial implant with induced tissue flap |
| 09/232,272 | 6,193,726 | January 15, 1999 | Insertion tool for transmyocardial implant |
| 09/152,586 | 6,197,050 | September 14, 1998 | Transmyocardial implant with compliance collar |
| 09/009,674 | 6,214,041 | January 20, 1998 | Transmyocardial implant with septal perfusion |
| 09/179,711 | 6,223,752 | October 27, 1998 | Transmyocardial implant procedure |
| 09/433,454 | 6,237,607 | November 4, 1999 | Transmyocardial implant procedure |
| 09/009,400 | 6,250,305 | January 20, 1998 | Method for using a flexible transmyocardial implant |
| 09/548,173 | 6,350,248 | April 13, 2000 | Expandable myocardial implant |
| 09/548,175 | 6,361,519 | April 13, 2000 | Mesh tip myocardial implant |
| 09/141,284 | 6,406,488 | August 27, 1998 | Healing transmyocardial implant |
| 09/304,650 | 6,406,491 | May 4, 1999 | Compliant transmyocardial implant |
| 09/304,730 | 6,409,697 | May 4, 1999 | Transmyocardial implant with forward flow bias |

| Appln. Serial No. | Patent No. | Filing Date | Title |
|---------------------|------------|--------------------|--|
| 09/793,318 | 6,454,760 | February 26, 2001 | Insertion tool for transmyocardial imple |
| 09/326,819 | 6,454,794 | June 7, 1999 | Coronary bypass imp |
| 09/686,689 | 6,582,463 | October 11, 2000 | Autoanastomosis |
| 10/043,684 | | January 9, 2002 | Coronary Bypass Imp |
| 10/076,735 | | February 15, 2002 | Transmyocardial Imp With Improved Flow |
| 10/245,556 | | September 17, 2002 | Coronary Bypass Imp |
| Cont. of 10/095,165 | | August 11, 2003 | Healing Transmyocar Implant |
| Cont. of 10/043,684 | | October 10, 2003 | Coronary Bypass Imp |
| 10/155,926 | , | May 23, 2002 | Transmyocardial Imp With Flow Reduction |
| 09/975,746 | | October 10, 2001 | Stabilized Transmy Implant |
| 10/439,344 | | May 15, 2003 | Autoanastomosis |
| 09/975,740 | | October 10, 2001 | Flexible Transmyoo Implant |
| 09/931,655 | | August 16, 2001 | Interventional Diagno Catheter and a Metho Using a Catheter To Artificial Cardiac Shi |
| 09/768,930 | | January 24, 2001 | Autoanastomosis De Connection Technique |
| 09/971,354 | | October 4, 2001 | Multi-Lumen Impla |
| 09/769,746 | , | January 25, 2001 | Intravascular Ventriculocoronary A Bypass Delivery Mod |
| 10/075,518 | | February 13, 2002 | Cardiac Implant an Methods |
| 10/023,314 | | December 14, 2001 | Interventional Cathet Three Dimensional Articulation |
| 09/972,779 | | October 5, 2001 | Transmyocardial Ir With Reinforcing V |
| 09/976,258 | | October 11, 2001 | Medical Device Wi Enhanced Guide Capabilities |
| 10/238,574 | | September 9, 2002 | Device for Placing Transmyocardial In |
| 10/153,341 | | May 21, 2002 | Transmyocardial In Delivery System |
| 10/052,156 | | January 16, 2002 | Encased Implant an Methods |
| 10/150,621 | | May 17, 2002 | Transmyocardial In With Natural Vesse and Method |

Foreign Patents and Patent Applications

| Country | Appln. Serial No. | Patent No. | Filing Date | Title |
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| Australia | 4057397 | 716771 | August 12, 1997 | Coronary Bypass Implant |
| Belgium | 97938185.2 | 959815 | August 12, 1997 | Coronary Bypass Implant |
| Switzerland | 97938185.2 | 959815 | August 12, 1997 | Coronary Bypass Implant |
| Germany | 97938185.2 | 959815 | August 12, 1997 | Coronary Bypass Implant |
| Europe | 97938185.2 | 959815 | August 12, 1997 | Coronary Bypass Implant |
| France | 97938185.2 | 959815 | August 12, 1997 | Coronary Bypass Implant |
| Great Britain | 97938185.2 | 959815 | August 12, 1997 | Coronary Bypass Implant |
| Great Britain | 97171169 | 2316322 | August 12, 1997 | Coronary Bypass Implant |
| Ireland | 97938185.2 | 959815 | August 12, 1997 | Coronary Bypass Implant |
| Japan | 9216550 | 2886847 | August 11, 1997 | Coronary Bypass Implant |
| Norway | 990688 | 990688 | August 12, 1997 | Coronary Bypass Implant |
| New Zealand | 334541 | 334541 | August 12, 1997 | Coronary Bypass Implant |
| Portugal | 97938185.2 | 959815 | August 12, 1997 | Coronary Bypass Implant |
| Canada | 2262623 | | August 12, 1997 | Coronary Bypass Implant |
| Europe | 02014488.7 | | August 12, 1997 | Method and Apparatus for |
| ^ . | | | | Performing Coronary |
| | 199839255 | <u> </u> | November 10, | Artery Bypass Surgery Transmyocardial Implant |
| Germany | 199039233 | | 1999 | With Coronary Ingrowth |
| Europe | 98942170.6 | | August 20, 1998 | Transmyocardial Implant |
| Europe | 98965487.6 | | December 22, | Transmyocardial Implant |
| Lutope | 70705-107.0 | | 1998 | With Septal Perfusion |
| Canada | 2341521 | | August 24, 1999 | Transmyocardial Implant |
| Europe | 99943852.6 | | August 24, 1999 | Transmyocardial Implant |
| Japan | 00567153 | | August 24, 1999 | Transmyocardial Implant |
| Germany | 100844502 | | March 27, 2000 | Compliant |
| Germany | 100011002 | | ,, | Transmyocardial Implant |
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| Ionan | 00614922 | | March 27, 2000 | Compliant |
| Japan | 00014922 | | Wiaich 27, 2000 | Transmyocardial Implant |
| PCT | PCT/US02/26226 | | August 15, 2002 | Interventional Diagnostic |
| | | | | Catheter and a Method for |
| | | | | Using a Catheter to Access Artificial Cardiac |
| | | | | Shunts |
| PCT | PCT/US02/31659 | | October 2, 2002 | Transmyocardial Implant |
| | | | | With Reinforcing Wrap |